

Patent

Attorney Docket No. MTI-31041

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO.	Serial No.
	MTI-31041	09/816,962
	Applicant	Confirmation No.
	Ping et al.	8677
	Filing Date	Group Art Unit
	03/23/2001	2814, 2812

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent No.	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
SBG	6,660,650	12/09/2003	Konecni	FAX RECEIVED JUN 08 2005 OFFICE OF PETITIONS		
SBG	6,492,232	12/10/2002	Tang			
SBG	6,620,710	09/16/2003	Kamins			
SBG	5,208,172	05/04/1993	Fitch			

## PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initial	Patent No.	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial	Document Number	Publication Date	Country	Int'l Class	Sub-Class	Translation (Yes/No)

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)


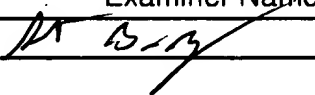
Examiner Initial	Non-Patent Document

Examiner Initials	ASG	Date Considered	8/29/06
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPFP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Method for Forming Raised Structures by Controlled Selective Epitaxial Growth of Facet Using Spacer						
<div>Application Number : 09/816962 </div> <div>Confirmation Number: 8677</div> <div>First Named Applicant: Er-Xuan Ping</div> <div>Attorney Docket Number: MTI-31041</div> <div>Art Unit: 2812</div> <div>Examiner: Angel Roman</div> <div>Search string: ( 4963506 or 5849077 ).pn</div>							
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
SBF	1	4963506	1990-10-16	Liaw et al.		438	482
SBF	2	5849077	1998-12-15	Kenney		117	90
<b>Signature</b>							
Examiner Name				Date			
				8-29-06			

Patent

OCT 07 2005

Attorney Docket No. MTI-31041

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Alexandria, VA 22313  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO.	Serial No.
	MTI-31041	09/816,962
	Applicant	Confirmation No.
	Er-Xuan Ping	8677
	Filing Date	Group Art Unit
	March 23, 2001	2812

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Publication/ Issue Date	Patentee	U.S. Class	Sub-Class
SBG	US 20040175893	09/09/2004	Vatus	438	300
SOB	6,074,478	06/13/2000	Oguro	117	95
SOA	5,864,180	01/26/1999	Hori et al.	257	774
SOB	5,863,826	01/26/1999	Wu et al.	438	423
SOB	5,316,962	05/31/1994	Matsuo et al.	437	52
SOB	5,304,834	04/19/1994	Lynch	257	374
SBG	4,757,027	07/12/1988	Vora	437	39
SOB	4,442,178	04/10/1984	Kimura et al.	428	446

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examine r Initial	Non-Patent Document
SBG	Ginsberg et al., "Selective epitaxial growth of silicon and some potential application," <i>IBM J. Res Develop.</i> 34(6): November 1990.

Examiner Initials <i>SBG</i>	Date Considered <i>8/29/06</i>
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MKE/1076815.1

1 of 1

October 7, 2005

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	09816962
Filing Date	2001-03-23
First Named Inventor	Er-Xuan Ping
Art Unit	2812
Examiner Name	A. Roman
Attorney Docket Number	MTI-31041

## **U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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58P	1	401286361	JP	A	1989-11-17	Matsumoto		<input type="checkbox"/>

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## **NON-PATENT LITERATURE DOCUMENTS**

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09816962
Filing Date		2001-03-23
First Named Inventor	Er-Xuan Ping	
Art Unit	2812	
Examiner Name	A. Roman	
Attorney Docket Number	MTI-31041	

586	1	Wolf, et al., Silicon Processing for the VLSI Era, Vol. 1: Processing Technology, 1986, page 155-156	<input type="checkbox"/>
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Filing Date	2001-03-23
First Named Inventor	Er-Xuan Ping
Art Unit	2812
Examiner Name	Angel Roman
Attorney Docket Number	MTI-31041

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SBG	1	6228733	B1	2001-05-08	Lee et al.	

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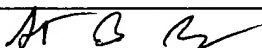
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Examiner Name	Angel Roman
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